

**Table Attached to Cabinet Resolution No. (132) of 2023  
Concerning the Administrative Penalties imposed on Violators of the provisions of  
Cabinet Resolution No. (109) of 2023  
Concerning the Regulation of Real Beneficiary Procedures**

SN	Violation	Legal Ref. Cabinet Resolution No. (109) of 2023	The Two Administrative Penalties		
			First Time	Second Time	Third Time
1	The Legal Person fails to correctly Register the Real Beneficiary details of the Real Beneficiary.	Article (6) and (7)	A Written Notice to the Legal Person to comply within (15) fifteen days from the date of the Notice. 132.	(20,000) twenty thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Notice to the Violator.	(40,000) forty thousand AED, along with notifying the Legal Person to correct the Violation.
2	Fail to include the data contained in Clause (2) of Article (8) of Cabinet Resolution	Article (8)	A Written Notice to the Legal Person to comply within (15) fifteen days from the date of the Notice.	(20,000) twenty thousand AED and a Notice to the Legal Person to correct the Violation	(40,000) forty thousand AED, along with notifying the Legal Person to correct the Violation.

No. (109) of 2023 referred to in the Real Beneficiary Register.			within (15) fifteen days from the date of the Notice to the Violator.	
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**Cont. Table Attached to Cabinet Resolution No. (132) of 2023  
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Cabinet Resolution No. (109) of 2023  
Concerning the Regulation of Real Beneficiary Procedures**

SN	Violation	Legal Ref. Cabinet Resolution No. (109) of 2023	The Two Administrative Penalties		
			First Time	Second Time	Third Time
3	The Legal Person does not comply with creating a Real Beneficiary Register and maintain its data.	Article (8/1)	A Written Notice to the Legal Person to comply within (30) fifteen days from the date of the Notice.	(50,000) fifty thousand AED and a Notice to the Legal Person to correct the Violation within (30) thirty days from the date of the Violation.	(100,000) one hundred thousand AED, along with notifying the Legal Person to correct the Violation.
4	The Legal Person does not comply with updating a Real Beneficiary Register.	Article (8/1)	A Written Notice to the Legal Person to comply within (15) fifteen days from the date of the Notice.	(15,000) fifteen thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(30,000) thirty thousand AED, along with notifying the Legal Person to correct the Violation.

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SN	Violation	Legal Ref. Cabinet Resolution No. (109) of 2023	The Two Administrative Penalties		
			First Time	Second Time	Third Time
5	The Legal Person does not comply with providing the data referred to in Article (10) of the aforementioned Cabinet Resolution No. (109) of 2023 related to the data of the Director or Board Nominee Member to the Registrar.	Article (10)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(40,000) forty thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Notice to the Violator.	(80,000) eighty thousand AED, along with notifying the Legal Person to correct the Violation.
6	The Legal Person fails to create a Partners or Shareholders Register.	Article (10)	–	(50,000) fifty thousand AED and a Notice to the Legal Person to correct the	(100,000) one hundred thousand AED, along with notifying the

				Violation within (30) thirty days from the date of the Violation.	Legal Person to correct the Violation.
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Cabinet Resolution No. (109) of  
2023 concerning the Regulation of Real Beneficiary Procedures**

SN	Violation	Legal Ref. Cabinet Resolution No. (109) of 2023	The two administrative penalties		
			First Time	Second Time	Third Time
7	The Legal Person fails to update the Partners or Shareholders Register within (15) fifteen days from the date of the change or becoming aware of it.	Article (10)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(15,000) fifteen thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(30,000) thirty thousand AED, along with notifying the Legal Person to correct the Violation.
8	The Legal Person does not maintain data details of each Partner or Shareholder or does not include the data	Article (10)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(30,000) thirty thousand AED and a Notice to the Legal Person to correct the Violation within (15)	(60,000) sixty thousand AED, along with notifying the Legal Person to correct the Violation.

	contained in Clause (1) of Article (10) of Cabinet Resolution No. (109) of 2023 referred to in the Partners or Shareholders Register.			fifteen days from the date of the Notice to the Violator.	
9	The Legal Person fails to provide the data of the Real Beneficiary Register and the Partners or Shareholders Register to the Registrar and fails to preserve these records from damage, loss or destruction.	Article (11/1):	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(15,000) fifteen thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(30,000) thirty thousand AED, along with notifying the Legal Person to correct the Violation.
10	Legal Person fails to provide any additional data requested by Registrar within (14)	Article (11/2):	A Written Notice to the Legal Person to comply within (30) thirty days	(15,000) fifteen thousand AED and a Notice to the Legal Person to	(30,000) thirty thousand AED, along with notifying the Legal Person to

	fourteen days from the date of the request.		from the date of the Notice.	correct the Violation within (15) fifteen days from the date of the Violation.	correct the Violation.
11	The Legal Person fails to disclose the classes of the Real Beneficiary in Complex Structures.	Article (15)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(50,000) fifty thousand AED and a Notice to the Legal Person to correct the Violation within (30) thirty days from the date of the Violation.	(100,000) one hundred thousand AED, along with notifying the Legal Person to correct the Violation.



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12	The Legal Person fails to provide the Registrar with the name of a natural person residing in the State who is authorised to disclose the data or information required by the Law by Decree and its Executive Regulations.	Article (11/4)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(10,000) ten thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(20,000) twenty thousand AED, along with notifying the Legal Person to correct the Violation.
13	The Legal Person fails to disclose when issuing shares or stakes in the names of persons or members of management to the Registrar	Article (11/6)	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(15,000) fifteen thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(30,000) thirty thousand AED, along with notifying the Legal Person to correct the Violation.

	within (15) fifteen days from the date of issuing the shares or stakes.				
14	The Legal Person subject to dissolution or liquidation, fails to handover the Liquidator the Real Beneficiary Register, the Partners or Shareholders Register within (30) days from the Liquidator appointment.	Article (11/7):	A Written Notice to the Legal Person to comply within (30) thirty days from the date of the Notice.	(5,000) five thousand AED and a Notice to the Legal Person to correct the Violation within (15) fifteen days from the date of the Violation.	(10,000) ten thousand AED, along with notifying the Legal Person to correct the Violation.
15	The Liquidator fails to maintain the records and all data contained in Cabinet Resolution No. (109) of 2023 - referred to, for a period of (5)	Article (11/8):	(100,000) One hundred thousand dirhams.		

years from the date of dissolution, liquidation or cancellation.				
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