

**List of Operational and Executive Capacities and Competences for the
Licensed Stock and Commodity Markets in the State Annexed to Cabinet
Resolution No. (53) of 2022**

1. Market Maker;
2. Cash Flow Provider;
3. Lending and Borrowing Securities;
4. Online Trading;
5. Margin Trading;
6. Short Selling;
7. Brokerage Companies Trading for their own account;
8. Direct Market Access (DMA);
9. Trading rules, including regulating the transfer of ownership of off-floor trading securities;
10. Deposit Rules;
11. Penalties imposed by the market on violators of its regulations and rules in force;
12. Registered owner and beneficial owner;
13. Foreign brokerage companies trading from remote access;
14. Derivatives Trading;
15. Price Stability Manager;
16. Central Clearing Counterparty (CCP);
17. Listing Rules (Securities - Investment Fund Units - Derivatives);
18. Implementing the procedures for the purchase of companies shares;
19. Setting the controls for the shareholders register (Article 141 of the Companies Law);
20. Regulating the sale of shares whose value shall not be paid in full (Article 219 of the Companies Law);
21. Dividends to shareholders (Article 243 of the Companies Law);
22. Determining the fees that markets charge for the services they provide;

23. Establishing membership affairs controls for IDX institutions;
24. Rules for continuous disclosure obligations for the listed products related to the integrity of trading and information transparency;
25. Establishing executive controls for electronic subscription procedures;
26. Implementing subscription procedures and issue premium;
27. Establishing procedures for implementing the commitment to coverage and price building;
28. Providing electronic voting platform management services;
29. Establishing procedures for trading priority rights;
30. Procedures for reducing and increasing the capital and listing and depositing the capital increase shares;
31. Implementing procedures for converting bonds and instruments into shares; and
32. Implementing share nominal value split procedures